



PATENT
Our Docket: P-AR 4681

SELTON 1/4/02
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Zhao et al.)
Serial No.: 09/922,226)
Filed: August 2, 2001)
For: METHODS OF SCREENING FOR)
COMPOUNDS THAT MODULATE)
HORMONE RECEPTOR ACTIVITY)

Group Art Unit: 1645
Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attention Box Missing Parts, Washington, D.C., 20231, on December 17, 2001.

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

By Andrea L. Gashler
Andrea L. Gashler, Reg. No. 41,029
December 17, 2001
Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed September 17, 2001, enclosed are:

- X (1) a copy of the Notice to File Missing Parts of Nonprovisional application mailed September 17, 2001;
 - X (2) a Preliminary Amendment with attached Exhibit A and new Figure 2;
 - X (3) a copy of the Sequence Listing in computer readable form;
 - X (4) a paper copy of the Sequence Listing, pages 1 to 55;
 - X (5) a Statement under 37 C.F.R. §1.821(f) and (g);
 - X (6) a Petition for a one month Extension of Time (in duplicate); and
 - X (7) a check in the amount of \$110.00 to cover the fee for the large entity one-month extension of time.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
 - X Any patent application processing fees under 37 C.F.R. 1.17.

Inventors: Zhao et al.
Serial No.: 09/922,226
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Page 2 of 2

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: December 17, 2001

By: Andrea L. Gashler
Andrea L. Gashler
Reg. No. 41,029

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
Telephone: (858) 535-9001
Facsimile: (858) 535-8949
USPTO CUSTOMER NO. 23601



Copy of Notice to File Missing
Parts of Nonprovisional Application
mailed September 17, 2001

Attorney Docket No.: P-AR 4681
Serial No.: 09/922,226

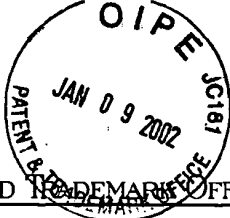
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Andrea L. Gashler, Reg. No. 41,029

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,226	08/02/2001	Yi Zhao	P-AR 4681

CONFIRMATION NO. 8912

FORMALITIES LETTER



OC00000006567086

CAMPBELL & FLORES LLP
7th Floor
4370 La Jolla Village Drive
San Diego, CA 92122

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2090.
 - \$1260 for 70 total claims over 20.
 - \$560 for 7 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2930.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Our Docket: P-AR 4681

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Zhao et al.)

Serial No.: 09/922,226 #7)

Filed: August 2, 2001)

For: METHODS OF SCREENING FOR)
COMPOUNDS THAT MODULATE)
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Group Art Unit: 1645

Examiner: Not Yet Assigned

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Andrea L. Gashler, Reg. No. 41,029

December 17, 2001
Date of Signature

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821(g) herein does not include new matter.

Respectfully submitted,

December 17, 2001
Date

Andrea L. Gashler
Andrea L. Gashler
Registration No. 41,029
Telephone: (858) 535-9001
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
USPTO CUSTOMER NO. 23601